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ALTONA BLOWER & SHEET METAL WORKS, INC. 401K PLAN

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Fund Performance

Fund Performance is available in multiple reporting formats.

Report Type:

REPORT TYPES

SELECTED FUNDS - Represents the funds selected for your plan and reflects the fees associated with your contract(s).

ALL AVAILABLE FUNDS - Represents all funds available to your plan and reflects the fees associated with your contract(s).

Investment option names displayed in the tables below are clickable links that will open up a fund fact sheet in a new window. Hovering over a footnote number (e.g., #1) after an investment option name will display additional notes applicable to that specific investment option.

There are two print options: [Printer Friendly Version](#) of the current screen information and [Print All Version](#) to print the entire fund performance report.

– Disclosures

Variable annuities and funding agreements are long-term investment vehicles designed for retirement purposes which allow you to allocate contributions among variable investment options that have the potential to grow tax-deferred with an option to receive a stream of income at a later date. Early withdrawals from variable annuities may be subject to surrender charges, and if taken prior to age 59 1/2, a 10% IRS penalty may apply. Withdrawals will also reduce the applicable death benefit and cash surrender value.

The performance data quoted represents past performance. Past performance does not guarantee future results. For month-end performance which may be lower or higher than the performance data shown please call 800-584-6001. Investment return and principal value of an investment will fluctuate so that, when sold, an investment may be worth more or less than the original cost.

These numbers reflect total Separate Account charges, ranging from 0.80% to 1.50% on an annual basis. They also assume reinvestment of all dividends (ordinary income and capital gains) and are net of management fees and other fund operating expenses. See [Additional Notes](#) section for charges by investment option.

Depending upon the type of contract in which you participate, you have either received disclosure booklets for the separate account and/or fund prospectuses. You should consider the investment objectives, risks and charges, and expenses of the variable product and its underlying fund options carefully before investing. The disclosure booklet contains this and other information. Anyone who wishes to obtain a free copy of the separate account disclosure booklet and/or fund prospectuses may call their Voya representative or the number above. Please read the separate account disclosure booklet and/or the fund prospectuses carefully before investing.

Returns less than one year are not annualized. Fund Inception Date is the date of inception for the underlying fund, and is the date used in calculating the periodic returns. This date may also precede the portfolio's inclusion in the product.

Advanced Results Filter

Quarter End | **Month End**

Performance as of 09/30/2015

20 of 20 Funds

Investment Options ▼	Asset Class - Style ▼	1-Mo ▼	3-Mo ▼	YTD ▼	1-Yr ▼	3-Yr ▼	5-Yr ▼	10-Yr ▼	Incept ▼	Fund Inception Date ▼
Voya Money Market Portfolio - Class I - 003 (2) <i>The 7-day annualized Subaccount yield as of 09/30/2015 is -1.50%, which more closely reflects current earnings.</i>	Stability of Principal	-0.12	-0.38	-1.10	-1.48	-1.48	-1.47	-0.10		01/01/1980
Voya Fixed Account - 675 (1) (6) <i>This fund is not part of the product's separate account.</i>	Stability of Principal	0.24	0.75	2.24	3.00	3.00	3.00	3.03		
Voya GNMA Income Fund - Class A - 363	Bonds	0.42	0.68	1.16	2.18	0.81	2.25	3.57		08/17/1973
Voya Intermediate Bond Portfolio - Class I - 004	Bonds	0.14	0.19	-0.10	0.97	1.78	3.51	3.49		05/23/1973
Voya Solution 2025 Portfolio - Adviser Class - 749 (3)	Asset Allocation	-2.15	-5.49	-3.86	-2.01	5.28	5.97	2.90		04/29/2005
Voya Solution 2035 Portfolio - Adviser Class - 760 (3)	Asset Allocation	-2.77	-7.43	-5.19	-3.29	6.17	6.55	3.13		04/29/2005

Voya Solution 2045 Portfolio - Adviser Class - 763 (3)	Asset Allocation	-2.98	-8.08	-5.86	-3.74	6.98	7.09	3.20		04/29/2005
Voya Solution Income Portfolio - Adviser Class - 766 (3)	Asset Allocation	-1.09	-2.65	-2.33	-0.85	2.71	3.61	2.96		04/29/2005
VY Inv Eqty & Inc Port I/Janus Asp Balanced I (4)	Balanced	-2.65	-6.76	-6.11	-4.51	7.67	7.93	4.66		09/13/1993
VY® Invesco Equity and Income Portfolio - Initial Class - 452	Balanced	-2.88	-6.76	-6.11	-4.51	7.67	7.93	4.66		12/10/2001
AB VPS Growth and Income Portfolio - Class A - 085	Large Cap Value	-2.20	-5.66	-5.84	-1.24	10.84	12.38	4.64		01/14/1991
AllianzGI NFJ Dividend Value Fund - Institutional Class - 1205	Large Cap Value	-4.54	-10.52	-12.41	-11.13	6.11	8.44	3.48		05/08/2000
Fidelity VIP Growth Portfolio - Initial Class - 109	Large Cap Growth	-3.30	-6.83	-2.38	-1.40	11.74	12.80	5.83		10/09/1986
Massachusetts Investors Growth Stock Fund - Class A - 912	Large Cap Growth	-2.57	-6.91	-6.32	0.45	10.20	11.64	6.09		01/01/1935
Oppenheimer Capital Appreciation Fund - Class A - 944	Large Cap Growth	-4.22	-8.60	-5.56	-1.04	10.55	10.51	4.17		01/22/1981
Fidelity Advisor® Stock Selector Mid Cap Fund - Class T - 212	Small/Mid/Specialty	-4.57	-10.25	-7.95	-2.30	9.70	9.73	3.76		02/20/1996
VY® JPMorgan Small Cap Core Equity Portfolio - Service Class - 752	Small/Mid/Specialty	-4.32	-10.66	-7.44	1.75	11.70	12.50	6.81		05/01/2002
American Funds EuroPacific Growth Fund - Class R-3 - 496 (5)	Global / International	-3.61	-10.16	-4.45	-6.38	4.73	2.95	3.76		04/16/1984
VY Oppenheimer Global-Int/Janus Aspen Worldwide Gr-Inst (4)	Global / International	-5.55	-11.24	-2.45	-3.08	9.80	7.90	4.79		09/13/1993
VY® Oppenheimer Global Portfolio - Initial Class - 432	Global / International	-5.24	-11.24	-2.45	-3.08	9.80	7.90	4.79		05/01/2002

– Additional Notes

The risks of investing in small company stocks may include relatively low trading volumes, a greater degree of change in earnings and greater short-term volatility.

Foreign investing involves special risks such as currency fluctuation and public disclosure, as well as economic and political risks.

Some of the Funds invest in securities guaranteed by the U.S. Government as to the timely payment of principal and interest; however, shares of the Funds are not insured nor guaranteed.

High yielding fixed-income securities generally are subject to greater market fluctuations and risks of loss of income and principal than are investments in lower yielding fixed-income securities.

Sector funds may involve greater-than average risk and are often more volatile than funds holding a diversified portfolio of stocks in many industries. Examples include: banking, biotechnology, chemicals, energy, environmental services, natural resources, precious metals, technology, telecommunications, and utilities.

(1) The CURRENT rate for the Voya Fixed Account Base-5, Fund 675 is 3.00%, expressed as an annual effective yield, and is guaranteed not to drop below 3.00% through 12/31/2015. The annual rate of interest applied to your account may be higher or lower than the current rate. Restrictions may apply to transfers of funds from the Fixed Account to other contract investment options. Please refer to your product prospectus / disclosure booklet and call your 800 number for more information.

(2) An investment in the Fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the Fund.

(3) There is no guarantee that any investment option will achieve its stated objective. Principal value fluctuates and there is no guarantee of value at any time, including the target date. The "target date" is the approximate date when you plan to start withdrawing your money. When your target date is reached, you may have more or less than the original amount invested. For each target date Portfolio, until the day prior to its Target Date, the Portfolio will seek to provide total returns consistent with an asset allocation targeted for an investor who is retiring in approximately each Portfolio's designation Target Year. Prior to choosing a Target Date Portfolio, investors are strongly encouraged to review and understand the Portfolio's objectives and its composition of stocks and bonds, and how the asset allocation will change over time as the target date nears. No two investors are alike and one should not assume that just because they intend to retire in the year corresponding to the Target Date that that specific Portfolio is appropriate and suitable to their risk tolerance. It is recommended that an investor consider carefully the possibility of capital loss in each of the target date Portfolios, the likelihood and magnitude of which will be dependent upon the Portfolio's asset allocation. On the Target Date, the portfolio will seek to provide a combination of total return and stability of principal.

Stocks are more volatile than bonds, and portfolios with a higher concentration of stocks are more likely to experience greater fluctuations in value than portfolios with a

higher concentration in bonds. Foreign stocks and small and midcap stocks may be more volatile than large cap stocks. Investing in bonds also entails credit risk and interest rate risk. Generally investors with longer timeframes can consider assuming more risk in their investment portfolio.

The Voya Solution PortfoliosSM are actively managed and the asset allocation adjusted over time. The portfolios may merge with or change to other portfolios over time. Refer to the prospectus for more information about the specific risks of investing in the various asset classes included in the Voya Solution Portfolios.

(4) The latter fund listed was replaced with the applicable Voya Investment Trust Co. Portfolio (the first fund listed). For most Plans this occurred after the close of business on December 3, 2004. The performance shown is based on the performance of the replaced fund until December 3, 2004, and the performance of the applicable Voya Investment Trust Co. Portfolio after that date. The replaced fund may not have been available under all contracts.

(5) The EuroPacific Growth Fund - Class R-3 commenced operations on May 21, 2002. The fund has identical investment objectives and policies, the same portfolio manager, and invests in the same holdings as Class A of this fund. The performance information for the EuroPacific Growth Fund - Class R-3 prior to May 21, 2002 is based upon the Class A performance, adjusted by fees associated with Class R-3.

(6) The Investment Option is neither a mutual fund nor part of a Separate Account. The returns listed do not include the impact of contract charges. Please refer to the contract or disclosure book to determine which Fixed Interest Options are available for your specific plan. The Investment Option is offered through Voya Retirement Insurance and Annuity Company.

These numbers reflect the fund's investment advisory fees, other fund expenses, and the annual deduction from the Separate Account as stated below:

Voya GNMA Income Fund A: 0.80%

VY JPMorgan Sm Cp Core Eq Prt Srv: 1.05%

Fidelity Adv Stock Sel Mid Cap Fd T, Massachusetts Invst Grwth Stck Fd A, Voya Intermediate Bond Port I: 1.10%

Oppenheimer Capital Apprec Fnd A: 1.25%

VY Invesco Eqty & Inc Port I, VY Oppenhr Global Port I: 1.30%

AB VPS Growth and Income Portfolio A, Fidelity VIP Growth Portfolio I: 1.40%

AllianzGI NFJ Dividend Value Fund Inst, Voya Money Market Portfolio I: 1.50%

All Other funds: 0.90%

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